

07/18/00  
JC878 U.S. PTO

07-19-00

PTO/SB/05 (12/97)  
JC878 U.S. PTO  
07/18/00

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Approved for use through 09/30/00. OMB 0651-003  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	SEL1	Total Pages	JC878
	First Named Inventor or Application Identifier			
	Scott E. Lilienthal			
	Express Mail Label No.	EK638864360US		

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing) 2. <input checked="" type="checkbox"/> Specification [Total Pages 18] (preferred arrangement set forth below) - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 3. <input type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 4] 4. Oath or Declaration [Total Pages 22] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	6. <input type="checkbox"/> Microfiche Computer Program (Appendix) 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
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<b>ACCOMPANYING APPLICATION PARTS</b>	
8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> 9 Copies of IDS Citations 12. <input type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 14. <input checked="" type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application, Statement(s) <input type="checkbox"/> Status still proper and desired 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Other: ..... ..... .....	

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_/\_\_\_\_\_

**18. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label 024912 or ☐ Correspondence address below  
 (Insert Customer No. or Attach bar code label here)

NAME	WMS Ramsey Reg No 32,715, July 18, 2000			
ADDRESS				
CITY	STATE	ZIP CODE		
COUNTRY	TELEPHONE	FAX		

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0002/PTO Rev. 10/95  <b>FEE TRANSMITTAL</b>	U.S. Department of Commerce Patent and Trademark Office	Complete if Known Application Number _____ Filing Date _____ First Named Inventor <b>Scott E. Lilienthal</b> Group Art Unit _____ Examiner Name _____ Attorney Docket Number <b>SEL1</b>
TOTAL AMOUNT OF PAYMENT (\$) <b>354.00</b>		

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																				
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number <b>18-0158</b> Deposit Account Name <b>Ramsey</b> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Making of the Notice of Allowance, 37 CFR 1.311(b)	<b>3. ADDITIONAL FEES</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105 130 205 65</td><td></td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127 50 227 25</td><td></td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139 130 139 130</td><td></td><td>Non-English specification</td><td></td></tr> <tr><td>147 2,390 147 2,390</td><td></td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112 870 112 870</td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113 1,740 113 1,740</td><td></td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115 110 215 55</td><td></td><td>Extension for response within first month</td><td></td></tr> <tr><td>116 380 216 190</td><td></td><td>Extension for response within second month</td><td></td></tr> <tr><td>117 900 217 450</td><td></td><td>Extension for response within third month</td><td></td></tr> <tr><td>118 1,400 218 700</td><td></td><td>Extension for response within fourth month</td><td></td></tr> <tr><td>119 290 219 145</td><td></td><td>Notice of Appeal</td><td></td></tr> <tr><td>120 290 220 145</td><td></td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121 250 221 125</td><td></td><td>Request for oral hearing</td><td></td></tr> <tr><td>138 1,430 138 1,430</td><td></td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140 110 240 55</td><td></td><td>Petition to revive unavoidably abandoned application</td><td></td></tr> <tr><td>141 1,250 241 625</td><td></td><td>Petition to revive unintentionally abandoned application</td><td></td></tr> <tr><td>142 1,250 242 625</td><td></td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143 430 243 215</td><td></td><td>Design issue fee</td><td></td></tr> <tr><td>144 630 244 315</td><td></td><td>Plant issue fee</td><td></td></tr> <tr><td>122 130 122 130</td><td></td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123 50 123 50</td><td></td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126 220 126 220</td><td></td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581 40 581 40</td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146 750 246 375</td><td></td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>149 750 249 375</td><td></td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td colspan="3">Other fee (specify) _____</td><td></td></tr> <tr><td colspan="3">Other fee (specify) _____</td><td></td></tr> <tr> <td colspan="2" style="text-align: right;">SUBTOTAL (3) (\$)</td> <td colspan="2" style="text-align: center;"><b>0</b></td> </tr> </tbody> </table>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130 205 65		Surcharge - late filing fee or oath		127 50 227 25		Surcharge - late provisional filing fee or cover sheet.		139 130 139 130		Non-English specification		147 2,390 147 2,390		For filing a request for reexamination		112 870 112 870		Requesting publication of SIR prior to Examiner action		113 1,740 113 1,740		Requesting publication of SIR after Examiner action		115 110 215 55		Extension for response within first month		116 380 216 190		Extension for response within second month		117 900 217 450		Extension for response within third month		118 1,400 218 700		Extension for response within fourth month		119 290 219 145		Notice of Appeal		120 290 220 145		Filing a brief in support of an appeal		121 250 221 125		Request for oral hearing		138 1,430 138 1,430		Petition to institute a public use proceeding		140 110 240 55		Petition to revive unavoidably abandoned application		141 1,250 241 625		Petition to revive unintentionally abandoned application		142 1,250 242 625		Utility issue fee (or reissue)		143 430 243 215		Design issue fee		144 630 244 315		Plant issue fee		122 130 122 130		Petitions to the Commissioner		123 50 123 50		Petitions related to provisional applications		126 220 126 220		Submission of Information Disclosure Stmt		581 40 581 40		Recording each patent assignment per property (times number of properties)		146 750 246 375		Filing a submission after final rejection (37 CFR 1.129(a))		149 750 249 375		For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify) _____				Other fee (specify) _____				SUBTOTAL (3) (\$)		<b>0</b>	
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SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	<b>William S. Ramsey</b>			Reg. Number	<b>32.715</b>
Signature			Date	<b>July 18, 2000</b>	Deposit Account User ID

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**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR**

Docket Number (Optional)

SEL1

Applicant or Patentee: Scott E. Lilienthal

Application or Patent No.: \_\_\_\_\_

Filed or Issued: \_\_\_\_\_

Title: ELECTRONIC BOOK PLAYER WITH AUDIO SYNCHRONIZATION

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above.  
☐ the application identified above.  
☐ the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.  
☐ Each such person, concern or organization is listed below.

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Scott E. Lilienthal

NAME OF INVENTOR

X Scott E. Lilienthal

Signature of inventor

Date X

NAME OF INVENTOR

Signature of inventor

Date

NAME OF INVENTOR

Signature of inventor

Date

Type a plus sign (+) inside this box → ☒

0010/PTO  
 Rev. 6/95

U.S. Department of Commerce  
 Patent and Trademark Office

# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

☒ Declaration OR  
 Submitted with Initial Filing ☐ Declaration  
 Submitted after Initial Filing

Attorney Docket Number

SEL1

First Named Inventor

Scott E. Lilienthal

COMPLETE IF KNOWN

Application Number

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ELECTRONIC BOOK PLAYER WITH AUDIO SYNCHRONIZATION

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

Burden Hour Statement: This form is estimated to take .4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Type a plus sign (+) inside ☐ → ☐

# DECLARATION

Page 2

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Firm Name  Customer Number or label   
OR  
☒ List registered practitioner(s) name and registration number below:

Name	Registration Number	Name	Registration Number
William S. Ramsey	32,715		

☐ Additional registered practitioner(s) named on a supplemental sheet attached hereto.

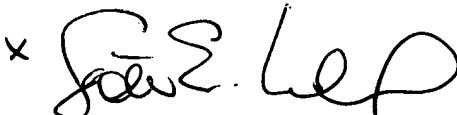
Please direct all correspondence to: ☐ Customer Number or label  OR ☒ Correspondence address below

Name	William S. Ramsey				
Address	Ramsey & Associates, P.C.				
Address	5253 Even Star Place				
City	Columbia,	State	MD	ZIP	21044
Country	USA	Telephone	410-730-9467	Fax	410-730-9467

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name	Scott	Middle Initial	E.	Family Name	Lilienthal	Suffix e.g. Jr.	
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Inventor's Signature	x 	Date	x 7/14/07
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Residence: City	Laurel	State	MD	Country	USA	Citizenship	USA
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Post Office Address	405 Fourth Street.		
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Post Office Address			
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City	Laurel	State	MD	Zip	20707	Country	USA	Applicant Authority	
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☐ Additional inventors are being named on supplemental sheet(s) attached hereto